



05-01-00

EXPRESS MAIL NO. EL064843018US

PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

KCC 128609  
#12 RCE  
Appl'd  
37 C.F.R.  
RECEIVED  
MAIL ROOM  
FEB 26 2002

**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/632,388
Filing Date	August 3, 2000
First Named Inventor	Shin Hwa Li
Group Art Unit	2823
Examiner Name	Julio J. Maldonado
Attorney Docket Number	98-P-009C1 (850063.529)

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

**1. Submission required under 37 C.F.R. § 1.114**

- a.  Previously submitted
  - i.  Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on December 13, 2001 (Any unentered amendment(s) referred to above will be entered).
  - ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
  - iii.  Other \_\_\_\_\_
- b. Enclosed
  - i.  Amendment/Reply
  - ii.  Affidavit(s)/Declaration(s)
  - iii.  Information Disclosure Statement (IDS)
  - iv.  Other \_\_\_\_\_

**2. Miscellaneous**

- a.  Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b.  Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a.  The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No 19-1090.
  - i.  RCE fee required under 37 C.F.R. § 1.17(e)
  - ii.  Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
  - iii.  Other \_\_\_\_\_
- b.  Check in the amount of \$ 1140 enclosed
- c.  Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print /Type)	Brian L. Johnson	Registration No. (Attorney/Agent)	40,033
Signature	<u>Brian L. Johnson</u>	Date	February 26, 2002

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print /Type)		Date	
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.

262205\_1 [01-09-01]

03/05/2002 CMH/EN 000000013 09632388  
01 FC1179

FEE TRANSMITTAL  
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1140

Complete If Known	
Application Number	09/632,388
Filing Date	August 3, 2000
First Named Inventor	Shin Hwa Li
Examiner Name	Julio J. Maldonado
Group Art Unit	2823
Attorney Docket No.	98-P-009C1 (850063.529C1)

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																							
<input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td></tr> <tr> <td colspan="4">SUBTOTAL (3) (\$ 1140</td></tr> </tbody> </table>				Large Entity		Small Entity		Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	127	50	227	25	139	130	139	130	147	2,520	147	2,520	112	920*	112	920*	113	1,840*	113	1,840*	115	110	215	55	116	400	216	200	117	920	217	460	118	1,440	218	720	128	1,960	228	980	119	320	219	160	120	320	220	160	121	280	221	140	138	1,510	138	1,510	140	110	240	55	141	1,280	241	640	142	1,280	242	640	143	460	243	230	144	620	244	310	122	130	122	130	123	50	123	50	126	180	126	180	581	40	581	40	146	740	246	370	149	740	249	370	179	740	279	370	169	900	169	900	Other fee (specify) _____				*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 1140			
Large Entity		Small Entity																																																																																																																																									
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																								
105	130	205	65																																																																																																																																								
127	50	227	25																																																																																																																																								
139	130	139	130																																																																																																																																								
147	2,520	147	2,520																																																																																																																																								
112	920*	112	920*																																																																																																																																								
113	1,840*	113	1,840*																																																																																																																																								
115	110	215	55																																																																																																																																								
116	400	216	200																																																																																																																																								
117	920	217	460																																																																																																																																								
118	1,440	218	720																																																																																																																																								
128	1,960	228	980																																																																																																																																								
119	320	219	160																																																																																																																																								
120	320	220	160																																																																																																																																								
121	280	221	140																																																																																																																																								
138	1,510	138	1,510																																																																																																																																								
140	110	240	55																																																																																																																																								
141	1,280	241	640																																																																																																																																								
142	1,280	242	640																																																																																																																																								
143	460	243	230																																																																																																																																								
144	620	244	310																																																																																																																																								
122	130	122	130																																																																																																																																								
123	50	123	50																																																																																																																																								
126	180	126	180																																																																																																																																								
581	40	581	40																																																																																																																																								
146	740	246	370																																																																																																																																								
149	740	249	370																																																																																																																																								
179	740	279	370																																																																																																																																								
169	900	169	900																																																																																																																																								
Other fee (specify) _____																																																																																																																																											
*Reduced by Basic Filing Fee Paid																																																																																																																																											
SUBTOTAL (3) (\$ 1140																																																																																																																																											
<input type="checkbox"/> Deposit Account: Deposit Account Number <b>19-1090</b> Deposit Account Name <b>Seed Intellectual Property Law Group PLLC</b>				<b>Fee Description</b> Fee Paid																																																																																																																																							
The Commissioner is authorized to (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee <input checked="" type="checkbox"/> Charge any deficiencies to the above-identified deposit account.																																																																																																																																											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.																																																																																																																																											
FEE CALCULATION																																																																																																																																											
<b>1. BASIC FILING FEE</b> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td></tr> <tr> <td colspan="2">SUBTOTAL (1)</td> <td colspan="2">(\$ 0</td> <td colspan="4">Fee Paid</td></tr> </tbody> </table>								Large Entity		Small Entity		Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	740	201	370	106	330	206	165	107	510	207	255	108	740	208	370	114	160	214	80	SUBTOTAL (1)		(\$ 0		Fee Paid																																																																																																			
Large Entity		Small Entity																																																																																																																																									
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																								
101	740	201	370																																																																																																																																								
106	330	206	165																																																																																																																																								
107	510	207	255																																																																																																																																								
108	740	208	370																																																																																																																																								
114	160	214	80																																																																																																																																								
SUBTOTAL (1)		(\$ 0		Fee Paid																																																																																																																																							
<b>2. EXTRA CLAIM FEES</b> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td><b>15</b></td> <td>-20** = <b>0</b></td> <td>*</td> <td>=</td> </tr> <tr> <td><b>3</b></td> <td>-3** = <b>0</b></td> <td>*</td> <td>=</td> </tr> <tr> <td>Multiple Dependent</td> <td>*</td> <td>=</td> <td></td> </tr> </tbody> </table>								Total Claims	Extra Claims	Fee from below	Fee Paid	<b>15</b>	-20** = <b>0</b>	*	=	<b>3</b>	-3** = <b>0</b>	*	=	Multiple Dependent	*	=																																																																																																																					
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																								
<b>15</b>	-20** = <b>0</b>	*	=																																																																																																																																								
<b>3</b>	-3** = <b>0</b>	*	=																																																																																																																																								
Multiple Dependent	*	=																																																																																																																																									
<table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td></tr> <tr> <td colspan="2">SUBTOTAL (2)</td> <td colspan="2">(\$ 0</td> <td colspan="4">Fee Description</td></tr> </tbody> </table>								Large Entity		Small Entity		Fee Code	Fee (\$)	Fee Code	Fee (\$)	103	18	203	9	102	84	202	42	104	280	204	140	109	84	209	42	110	18	210	9	SUBTOTAL (2)		(\$ 0		Fee Description																																																																																																			
Large Entity		Small Entity																																																																																																																																									
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																								
103	18	203	9																																																																																																																																								
102	84	202	42																																																																																																																																								
104	280	204	140																																																																																																																																								
109	84	209	42																																																																																																																																								
110	18	210	9																																																																																																																																								
SUBTOTAL (2)		(\$ 0		Fee Description																																																																																																																																							

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY			
Name (Print/Type)	<b>Brian L. Johnson</b>		Registration No. (Attorney/Agent) <b>40,033</b>
Firm Name/Address			
Signature			Date <b>February 26, 2002</b>

262262\_1

30423  
PATENT TRADEMARK OFFICE

RECEIVED  
 MAR 6 2002  
 USPTO MAIL ROOM

FEB 26 2002